

## FACSIMILE TRANSMITTAL

### BOZICEVIC, FIELD & FRANCIS LLP

200 Middlefield Road, Suite 200

Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile Number: (650) 327-3231

**Date:** January 13, 2004

**To:** Office of Initial Patent Examination  
U.S. Patent and Trademark Office

**Facsimile No.** 703-746-9195

**From:** Karl Bozicevic

**Message:** Please see the attached Request for Corrected Filing Receipt  
Application No. 10/682,529  
Filing Date: October 8, 2003  
Our Ref.: AERX-055CON6

Total number of pages, including this cover sheet: 4

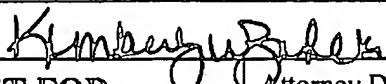
\*\*\*\*\*

**WARNING:** This facsimile message and accompanying documents are intended only for the use of the addressee indicated above. Information that is privileged or otherwise confidential may be contained therein. If you are not the intended recipient, you are hereby notified that any dissemination, copying, review or use of the above message or the accompanying documents is strictly prohibited. If you have received this message in error, please notify us immediately by telephone or facsimile, and mail the original to us at the above address. Thank you.

Please call Kimberly Zuehlke at (650) 327-3400 if you have any problems receiving this transmission.

Atty Dkt. No. AERX-055CON6  
USSN: 10/682,529

**Certificate of Transmission.** I hereby certify that this correspondence is being facsimile transmitted to the Office of Initial Patent Examination ( fax no. 703.746.9195) on the date shown below.

Typed or Printed Name	Kimberly Williams Zuchlke		
Signature		Date	13 January 2004
<b>REQUEST FOR CORRECTED FILING RECEIPT</b>  <b>FILED BY FACSIMILE</b>		Attorney Docket	AERX-055CON6
		Applicant	GONDA, IGOR
		Serial Number	10/682,529
		Filing Date	October 8, 2003
		Group Art Unit	1615
		Examiner Name	
Title: <i>"METHOD OF USE OF MONOMERIC INSULIN AS A MEANS FOR IMPROVING THE REPRODUCIBILITY OF INHALED INSULIN"</i>			

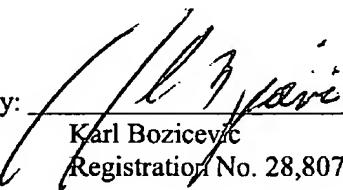
Sir:

A filing receipt for the above-identified patent application has been issued by the Commissioner of Patents and has been found to contain errors as shown on the attached copy. Applicant respectfully requests that the Commissioner issue a corrected Official Filing Receipt.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: 1/13/04

By:   
Karl Bozicevic  
Registration No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/682,529	10/08/2003	1615	770	AERX-055CON6	3	13	1

CONFIRMATION NO. 9730

24353  
 BOZICEVIC, FIELD & FRANCIS LLP  
 200 MIDDLEFIELD RD  
 SUITE 200  
 MENLO PARK, CA 94025

## FILING RECEIPT



OC000000011552290

Date Mailed: 12/24/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Igor Gonda, San Francisco, CA;  
 Reid M. Rubsamen, Oakland, CA;  
 Stephen J. Farr, Orinda, CA;

## Domestic Priority data as claimed by applicant

This application is a CON of 10/212,897 08/05/2002 ABN which is a CON of 09/975,085 10/09/2001 PAT 6,431,167 which is a CON of 09/888,094 05/21/2001 PAT 6,427,681 which is a CON of 09/656,535 09/07/2000 PAT 6,250,298 which is a DIV of 09/004,756 01/08/1998 PAT 6,131,567 which is a CIP of 08/792,616 01/31/1997 PAT 5,888,477 which is a CIP of 08/754,423 11/22/1996 PAT 5,743,250 which is a CIP of 08/549,343 10/27/1995 PAT 5,915,378 which is a CIP of 08/331,056 10/28/1994 PAT 5,672,581 which is a CIP of 08/011,281 01/29/1993 PAT 5,364,838

## Foreign Applications

If Required, Foreign Filing License Granted: 12/22/2003

Projected Publication Date: 04/01/2004

Non-Publication Request: No

RECEIVED

JAN 05 2004

Bozicevic, Field, &amp; Francis

**Early Publication Request: No**

**Title**

*OF*  
Method of use monomeric insulin as a means for improving the reproducibility of inhaled insulin

**Preliminary Class**

424

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).